

PAGE 04/35
RECEIVED
CENTRAL FAX CENTER
JUN 29 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Bradley J. Swearingen et al.
Serial No.: 09/663,151
Filed: September 15, 2000
Art Unit: 3624
Examiner: Narayanswamy Subramanian
For: Method and System for Executing Trades
in a User Preferred Security

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Response Under 37 C.F.R. §1.116

Dear Sir:

This is a response to the Office Action dated April 6, 2005. In view of the following remarks, reconsideration and withdrawal of the Examiner's rejection of the pending claims in this application is respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 24 of this paper.